## IN THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK

CASEY CUNNINGHAM, et al.,	
Plaintiffs,	No. 1:16-CV-06525-PKC
v.	
CORNELL UNIVERSITY, et al.	
Defendants.	

## **DECLARATION OF SCOTT T. APKING**

I, Scott T. Apking, of lawful age, declare as follows:

- 1. I am an attorney with Schlichter Bogard & Denton LLP, the law firm representing Plaintiffs in this case. I am licensed to practice in all Courts of the States of Illinois and Missouri and I am admitted to practice in numerous federal courts. If called as a witness, I could and would competently testify to the facts set forth below as I know them to be true based on my personal knowledge and review of the records and files maintained by Schlichter Bogard & Denton in the regular course of its representation of Plaintiffs in this case.
- 2. Attached hereto as Exhibit 1 are excerpts of the deposition of Wendy Dominguez taken on October 16, 2018.
- 3. Attached hereto as Exhibit 2 is a true and correct copy of a presentation by Innovest entitled Investment Menu Design and Considerations for Adding TIAA Proprietary Funds.
- 4. Attached hereto as Exhibit 3 is a true and correct copy of Exhibit 4 to the Expert Report of Wendy Dominguez.

- 5. Attached hereto as Exhibit 4 is a true and correct copy of Exhibit 6 to the Expert Report of Wendy Dominguez.
- 6. Attached hereto as Exhibit 5 is a true and correct copy of Innovest's response to the Request for Proposal dated October 4, 2012.
- 7. Attached hereto as Exhibit 6 is a true and correct copy excerpts from the Book entitled *The Management of Investment Decision*.
  - 8. Attached hereto as Exhibit 7 is a true and correct copy of a letter to Participants.
- 9. Attached hereto as Exhibit 8 is a true and correct copy of the Rebuttal Report of Wendy Dominguez dated September 26, 2018.
- 10. Attached hereto as Exhibit 9 is a true and correct copy of Retirement Plan Changes letter.
- 11. Attached hereto as Exhibit 10 are excerpts of the deposition of Dr. John Chalmers taken on October 5, 2018.
- 12. Attached hereto as Exhibit 11 is a true and correct copy of the MSCI World Index Fact Sheet from February 28, 2019.
- 13. Attached hereto as Exhibit 12 is a true and correct copy of *Sacerdote v. N.Y. Univ.*, No. 16-6284, Doc. 270 (S.D.N.Y. Apr. 5, 2018).
- 14. Attached hereto as Exhibit 13 is a true and correct copy of an e-mail exchange dated May 5, 2014 and produced by Captrust Defendant as CAPTR\_0014867.
- 15. Attached hereto as Exhibit 14 are excerpts of the deposition of Dr. Gerald Buetow taken on October 12, 2018.

16. Attached hereto as Exhibit 15 is a true and correct copy of an e-mail exchanged dated May 5, 2014 and produced by Captrust Defendant as CAPTR\_0014867

17. Attached hereto as Exhibit 16 is a true and correct copy of excerpts from Dr. Buetow's FidelityDamagesProduction Workbook, Mapping Tab Columns A-D.

18. Attached hereto as Exhibit 17 is a true and correct copy of excerpts from Dr. Buetow's TIAAdamagesproduction Workbook, Mapping Tab Columns A-D.

- 19. Attached hereto as Exhibit 18 is a true and correct copy of Dr. Buetow's Fidelity Mapping Workbook.
- 20. Attached hereto as Exhibit 19 is a true and correct copy of Dr. Buetow's Rebuttal Expert Report dated September 26, 2018.

I declare under penalty of perjury that the foregoing is true and correct. Executed on March 11, 2019.

/s/ Scott T. Apking
Scott T. Apking